

CARDINAL RESTRICTIONS ON SOME HOMOGENEOUS COMPACTA

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ABSTRACT. We give restrictions on the cardinality of compact Hausdorff homogeneous spaces that do not use other cardinal invariants, but rather covering and separation properties. In particular, we show that it is consistent that every hereditarily normal homogeneous compactum is of cardinality \mathfrak{c} . We introduce property $\text{wD}(\kappa)$, intermediate between the properties of being weakly κ -collectionwise Hausdorff and strongly κ -collectionwise Hausdorff, and show that if X is a compact Hausdorff homogeneous space in which every subspace has property $\text{wD}(\aleph_1)$, then X is countably tight and hence of cardinality $\leq 2^{\mathfrak{c}}$. As a corollary, it is consistent that such a space X is first countable and hence of cardinality \mathfrak{c} . A number of related results are shown and open problems presented.

In this paper, “space” means “Hausdorff space” and “compactum” stands for “infinite compact (Hausdorff) space.” It is well known that every compactum without isolated points is of cardinality $\geq \mathfrak{c}(= 2^{\aleph_0})$.

At the end of [vM], Jan van Mill posed the following problem:

1.1. Problem. *Is every T_5 [that is, hereditarily normal] homogeneous compactum of cardinality \mathfrak{c} ?*

In a July 2003 seminar at the Alfred Rényi Institute in Budapest, he conjectured that the answer to his question was positive. In this paper, we show that it is consistent that the answer to van Mill’s question is positive [Theorem 2.8]. We also show it consistent [Theorem 3.2] that every homogeneous compactum that is hereditarily $\text{wD}(\aleph_1)$ is first countable and hence, by a famous theorem of Arhangel’skiĭ,

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of cardinality \mathfrak{c} . This theorem says that $|X| \leq 2^{\chi(X)}$ for all compact Hausdorff X , where $\chi(X)$, as usual, stands for the character of X . When combined with the Čech-Pospišil theorem, this implies that every homogeneous compactum X is exactly of cardinality $2^{\chi(X)}$.

1.2. Definition. Let κ be a cardinal number. A space X is *weakly κ -collectionwise Hausdorff* [resp. *satisfies property $wD(\kappa)$*] if every closed discrete subspace D of cardinality κ has a subset D_0 of cardinality κ which can be expanded to a disjoint [resp. discrete] collection of open sets U_d such that $U_d \cap D_0 = \{d\}$ for all $d \in D_0$.

We use “ κ -cwH” as an abbreviation for “ κ -collectionwise Hausdorff”: without the modifier “weakly” this means that D_0 can be taken to be D in the above definition:

1.3. Definition A space X is [*strongly*] κ -cwH if every closed discrete subspace D of cardinality $\leq \kappa$ can be expanded to a disjoint [resp. discrete] collection of open sets as in Definition 1.

In Cohen’s original model for the negation of the Continuum Hypothesis (CH), and indeed in any model obtained by adding \aleph_2 Cohen reals to a model of ZFC, every locally compact normal space is \aleph_1 -cwH. This well-known fact can be shown by applying the technique of proof of [W, Theorem 3] to [DTW, Theorem 1.4].

It is also well known and easy to prove that every normal κ -cwH space is strongly κ -cwH; similarly, every normal, weakly κ -cwH space has property $wD(\kappa)$. Therefore, every T_5 , locally compact space is hereditary strongly ω_1 -cwH and hence hereditarily $wD(\aleph_1)$ in any generic extension obtained by adding \aleph_2 Cohen reals to an arbitrary ground model. This fact figures prominently in the proof of Theorem 2.8 that yields a consistent Yes answer to Problem 1.1.

With one exception that we know of, the theorems in this paper represent the first restrictions on the cardinality of homogeneous compacta that do not assume restrictions on other well-known cardinal functions (character, cellularity, tightness, etc.). The exception is that every monotonically normal homogeneous compactum is first countable (and therefore of cardinality \mathfrak{c}). This has been part of the folklore for some time, but we give a quick proof below (Theorem 3.6). Monotone normality is a very strong property, and Problem 1.1 asks whether at least the weaker part of this result can be extended to the much wider class of T_5 homogeneous compacta. Perhaps (see Problems 3.4 and 3.5 below) the stronger part can be extended also.

A dichotomy and a consistency result for Problem 1.1

We begin with a lemma which we hope to be of independent interest. Recall that a (*local*) π -base for a point x of a space X is a family \mathcal{U} of non-empty open sets such that every neighborhood of x contains a member of \mathcal{U} . The least cardinality of a π -base for x is called *the π -character of x in X* and is denoted by $\pi\chi(x, X)$.

2.1. Lemma. *Let Y be a locally compact space. The set of points y which fail to satisfy at least one of the following two properties is dense in Y .*

- (a) $\pi\chi(y, Y) = \omega$.
- (b) Every G_δ containing y has nonempty interior.

In particular, if Y has both points satisfying (a) and points satisfying (b), then Y cannot be homogeneous.

Proof. If Y has a dense set of isolated points, then (a) fails for these and we are done. [Some papers use the convention that $\pi\chi(y, Y) = \omega$ even if y is isolated, but not this paper.] Otherwise, let A be the closure of the set of isolated points. It is enough to show that the (locally compact) subspace $Y \setminus A$ has a dense set of points where at least one of (a) or (b) fails. In other words, it only remains to prove our Lemma for the case where Y has no isolated points, and we assume this from now on.

Let U_0 be any nonempty open subset of Y with compact closure. We define a descending well-ordered family of such sets by induction. If α is an ordinal and U_α has been defined, let $U_{\alpha+1}$ be any nonempty open set whose closure is a proper subset of U_α .

If α is a limit ordinal and U_ξ has been defined for all $\xi < \alpha$, then $C = \bigcap \{U_\xi : \xi < \alpha\}$ is nonempty because it is the intersection of the chain of compact sets $\{\overline{U_\xi} : \xi < \alpha\}$. If α is of countable cofinality, and y is a point of C satisfying (b), then C , being a G_δ , has nonempty interior and we may let U_α be the interior of C . If α is of uncountable cofinality and y is a point of C satisfying (a), then let $\{V_n : n \in \omega\}$ be a countable π -base for y . Since α is of uncountable cofinality, there must exist n such that $V_n \subset U_\xi$ for cofinally many $\xi \in \alpha$, but then $V_n \subset U_\xi$ for all $\xi \in \alpha$ because the U_ξ 's are nested, hence $V_n \subset C$. So now we can let $U_\alpha = V_n$ and continue the induction.

Eventually the chain stops at some limit ordinal α , i. e. the intersection C has empty interior. But then all the points of C fail to satisfy (b) if α is of countable cofinality and to satisfy (a) if α is of uncountable cofinality. Since U_0 was arbitrary, this completes the proof. \square

2.2. Corollary. *If Y is a locally compact space in which every point is non-isolated and of countable π -character, then the union of the closed nowhere dense G_δ -subsets of X is dense in X . \square*

By a theorem of Shapirovskii, every compact T_5 space has a point of countable π -character, so that (a) in Lemma 2.1 is automatically satisfied by some points of any T_5 compactum. This theorem of Shapirovskii is a corollary of his remarkable theorem that a compactum cannot be mapped continuously onto $[0, 1]^{\aleph_1}$ iff every subcompactum has a point of countable relative π -character. This gives us

many classes of compacta with points of countable π -character, including the class of countably tight compacta. Two other classes are given by the following two theorems, which seem to be new:

2.3. Theorem. *If X is a hereditarily weakly ω_1 -cwH compact space, then X cannot be mapped continuously onto $[0, 1]^{\aleph_1}$.*

Proof. Suppose $f : X \rightarrow [0, 1]^{\aleph_1}$ is a continuous onto function. Let F be a closed subset of X such that the restriction of f to F is irreducible; that is, no proper closed subset of F maps onto $[0, 1]^{\aleph_1}$. Let Q be a countable dense subset of $[0, 1]^{\aleph_1}$. By irreducibility, a subset of F which meets each point-inverse $f^{-1}(q)$ ($q \in Q$) is dense in F ; hence F is separable. By the hereditarily weakly ω_1 -cwH property, F cannot have an uncountable discrete subspace; but $[0, 1]^{\aleph_1}$ does have one, and this contradicts surjectivity of f . \square

An alternative proof of Theorem 2.3 uses the fact that $[0, 1]^{\aleph_1}$ satisfies the countable chain condition, and that a closed irreducible map has the property that a pair of disjoint nonempty open sets in the domain have images with nonempty interiors, and these interiors are disjoint.

2.4. Theorem. *If X is a compact space satisfying $wD(\aleph_0)$ hereditarily, then X cannot be mapped continuously onto $[0, 1]^{\aleph_1}$.*

Proof. Let Y be the Tychonoff plank, $(\omega_1 \times \omega + 1) \cup (\omega_1 + 1 \times \omega)$, let S be a copy of $[0, 1]^{\aleph_1}$ that contains Y , and let $f : X \rightarrow S$ be a continuous onto function. Let $Z = f^{-1}Y$ and for each point $\langle \alpha, n \rangle$ in $\omega_1 \times \omega$, let $x(\alpha, n) \in f^{-1}\{\langle \alpha, n \rangle\}$. Since the preimage of $(\omega_1 + 1 \times \{n\})$ is compact, there is a condensation point z_n of $\{x(\alpha, n) : \alpha \in \omega_1\}$, and $z_n \in f^{-1}\{\langle \omega_1, n \rangle\}$. Clearly $\{z_n : n \in \omega\}$ is closed discrete in Z .

Suppose A is an infinite subset of ω and $\{U_n : n \in A\}$ is a family of open sets satisfying $z_n \in U_n$ for all $n \in A$. For every $n \in A$, the closure of U_n contains the preimage of $C_n \times \{n\}$ for some club subset C_n of ω_1 . Fix $\alpha \in \bigcap_{n \in A} C_n$ and let $x_n \in f^{-1}\{\langle \alpha, n \rangle\} \cap \overline{U_n}$ for all $n \in A$. Since the preimage of $\{\alpha\} \times \omega + 1$ is a compact subset of Z , there is an accumulation point of $\{x_n : n \in A\}$, which shows that $\{U_n : n \in A\}$ is not a discrete collection. \square

2.5. Corollary. *If X is a compact space that is either hereditarily weakly ω_1 -cwH or hereditarily $wD(\aleph_0)$, then X has a dense set of points of countable π -character.* \square

The condition on discrete subsets that appears in our next lemma is clearly satisfied by any Lindelöf space. Further information on it will be given in and following Theorem 4.1.

2.6. Lemma. *Let X be a hereditarily $wD(\aleph_1)$ space, such that every discrete subset of X of cardinality \aleph_1 has a complete accumulation point. Let D be a discrete subset*

of cardinality \aleph_1 and let H be a closed G_δ -subset of X containing all the complete accumulation points of D . Then H has nonempty interior.

Proof. Obviously, $D \setminus H$ is countable. Let $Y = X \setminus (D \cap H)'$, where $(D \cap H)'$ stands for the derived set of all limit points of $D \cap H$. Since D is discrete, $D \cap H$ is a closed discrete subset of Y of cardinality \aleph_1 . Let \mathcal{U} be a discrete (in Y) family of \aleph_1 open subsets of Y expanding \aleph_1 points of $D \cap H$. Since H is closed, $(D \cap H)' \subset H$ and so $Y \setminus H = X \setminus H$ is a countable union of closed subsets F_n ($n \in \omega$) of X . So all but countably many members of \mathcal{U} are subsets of H : otherwise, we could pick points of uncountably many of them in some fixed set F_n and thus have an uncountable closed discrete subset of F_n and hence of X . \square

2.7. Theorem. *If X is a homogeneous compact space satisfying $wD(\aleph_1)$ hereditarily, then X is countably tight and hence of cardinality $\leq 2^{\mathfrak{c}}$.*

Proof. If X is of uncountable tightness, then by the main theorem of [JSz], X has a free ω_1 -sequence converging to some point y . A free ω_1 -sequence in a space X is a family $\{x_\alpha : \alpha < \omega_1\}$ of points such that, for each $\alpha < \omega_1$, the closure of $\{x_\xi : \xi < \alpha\}$ does not meet the closure of $\{x_\eta : \eta \geq \alpha\}$. It is easily seen that every free sequence is a discrete subspace. To say that it converges to y is to say that every neighborhood of y contains all but countably many of its points. Equivalently, y is the only complete accumulation point of $\{x_\alpha : \alpha < \omega_1\}$. Now, every G_δ containing y shrinks to a closed G_δ that also contains y by regularity of X , and so, by Lemma 2, has nonempty interior. Consequently, y satisfies condition (b) in Lemma 2.6. However, this contradicts the homogeneity of X because of Corollary 2.5 and Lemma 2.1.

For the second conclusion, we use the theorem in [J2] that every compact space of countable tightness has a point of character $\leq \mathfrak{c}$. By homogeneity, this implies every point is of character $\leq \mathfrak{c}$, and so $|X| \leq 2^{\mathfrak{c}}$ by the Arhangel'skiĭ Theorem. \square

We are now ready to give the consistent affirmative answer to Problem 1.1 promised in the introduction.

2.6. Theorem. *If (at least) \aleph_2 Cohen reals are added to any model V of ZFC, the resulting extension W has the property that every homogeneous T_5 compactum is countably tight and of character $\leq \aleph_1$, hence of cardinality $\leq 2^{\aleph_1}$. In particular, if $(2^{\aleph_1})^V$ Cohen reals are added, then in the resulting extension every homogeneous, countably tight compactum, hence every homogeneous T_5 compactum is of cardinality \mathfrak{c} .*

Proof. By the remarks following Definition 1.3, every homogeneous compact T_5 space in W satisfies property $wD(\aleph_1)$ hereditarily, and hence is countably tight by Theorem 2.7. Moreover, in [J2] it is shown that every compact space of countable tightness has a point of character $\leq \aleph_1$ in an extension obtained by adding just \aleph_1 Cohen reals to an arbitrary model of ZFC. [It is also conjectured there that this may actually be true in ZFC.] But first adding \aleph_2 Cohen reals and then adding

\aleph_1 is equivalent to adding \aleph_2 Cohen reals all at once. Thus if one adds (at least) \aleph_2 Cohen reals then in the resulting extension every homogeneous compact space of countable tightness is of character $\leq \aleph_1$, hence of cardinality $\leq 2^{\aleph_1}$ by the Arhangel'skiĭ Theorem. Finally, if $(2^{\aleph_1})^{\bar{V}}$ Cohen reals are added, then the resulting model of ZFC also satisfies $\mathfrak{c} = 2^{\aleph_1}$. \square

3. Obtaining first countability in some homogeneous compacta

Arhangel'skiĭ has conjectured [A] that every homogeneous, countably tight compactum is of cardinality \mathfrak{c} . Theorem 2.8 proves the consistency of this, but the conjecture is also a consequence of the Proper Forcing Axiom (PFA), which implies that every countably tight compactum has a point of first countability [D1], [J3, Theorem 3.3].

Our next theorem uses a different, much weaker axiom to get first countability of homogeneous, hereditarily $wD(\aleph_1)$ compacta. For convenience, we adopt the following terminology.

Axiom I: Every locally compact space of countable spread has a point of first countability.

Axiom L: Every locally compact space of countable spread is hereditarily Lindelöf.

It makes no difference whether we leave out “locally” in either axiom, since countable spread (*viz.*, the property that every discrete subspace is countable), the hereditary Lindelöf property, and the existence of a point of first countability are obviously preserved on taking the one-point compactification. Going in the opposite direction, one observes that in a locally compact space, a point has a countable local base iff it is a G_δ , and every point of a locally compact space is contained in a compact G_δ . Also, since every point is a G_δ in a regular hereditarily Lindelöf space, Axiom L implies Axiom I.

A well-known old result of the third author is that Axiom L is true under $MA(\omega_1)$ [Sz], [R, 6.4.]. It is also true in various models obtained from a countable support iteration of forcing with all ccc [or all proper] posets that do not destroy a certain coherent Souslin tree and then forcing with the tree. However, we do not know if Axiom I is strictly weaker than Axiom L.

Before giving the theorem using Axiom I, however, we need to prove the following ZFC result.

3.1. Lemma. *In a locally compact, hereditarily $wD(\aleph_1)$ space, the boundary of any open Lindelöf subset has countable spread.*

Proof. Let H be an open Lindelöf subset of a space X as described. Let D be a discrete subspace of the boundary of H ; since H is open, its boundary is $\overline{H} \setminus H$. Let D' be the derived set of D ; since D is discrete, $D' = \overline{D} \setminus D$. Also, D' is disjoint

from H because $\overline{H} \setminus H$ is closed. Hence H is a subset of $W = \overline{H} \setminus D'$, and D is closed in the relative topology of W .

If D were uncountable, there would be an uncountable subset D_0 of D with a discrete-in- W open expansion $\{U_d : d \in D_0\}$. But then $\{U_d \cap H : d \in D_0\}$ would be an uncountable discrete-in- H collection of subsets of H , and this is a contradiction since H is Lindelöf. Hence D is countable. \square

3.2. Theorem. *Assume Axiom I. Then every homogeneous, hereditarily $wD(\aleph_1)$ compactum is first countable and hence of cardinality \mathfrak{c} .*

Proof. Let X be a compactum as above. By homogeneity and Corollaries 2.2 and 2.5, every point in X is contained in a nowhere dense closed G_δ set B . The complement of B is an open Lindelöf subspace whose boundary is B , and so B is of countable spread by Lemma 2.6. Now we use Axiom I to conclude that B has a point x which is a relative G_δ -subset of B . But since B is itself a G_δ -subset of X , it follows that x is a G_δ -point of X and hence a point of first countability. Another application of homogeneity gives us first countability of X . \square

Although Axiom I does not hold in the models obtained by adding Cohen reals, the following conjecture seems reasonable in the light of Theorems 2.8 and 3.2.

3.3. Conjecture. *It is consistent that every homogeneous T_5 compactum is first countable.*

Jan van Mill has observed that in any model of $2^{\aleph_0} < 2^{\aleph_1}$, a Yes answer to Problem 1.1 would imply that every T_5 homogeneous compactum is first countable, since every compact space of cardinality $< 2^{\aleph_1}$ has a point of first countability by the Čech-Pospišil Theorem. Of course, the models of Theorem 2.8 that do give a Yes answer to Problem 1.1 satisfy $2^{\aleph_0} = 2^{\aleph_1}$. At the moment, the best candidate for confirmation of our conjecture is one of the models whose construction involves a coherent Souslin tree. Positive answers to both of the following questions in the same model of set theory would also confirm the conjecture:

3.4. Problem. *Is every homogeneous T_5 compactum countably tight?*

3.5. Problem. *Is every homogeneous countably tight compactum first countable?*

As we have seen, the PFA implies a positive answer to Problem 3.5, and the answer to Problem 2 is positive in models from Theorem 2.8, but we would also like to have it the other way around!

As indicated in the introduction, Conjecture 3.3 is provable in ZFC for monotonically normal compacta.

Theorem 6. *Every monotonically normal homogeneous compactum is first countable and hence of cardinality \mathfrak{c} . Moreover, it is hereditarily paracompact.*

Proof. This is a quick corollary of the following theorem of Williams and Zhou [WZ], [J3, 3.12]:

Let $P(p, X)$ denote $\min\{\kappa : p \text{ is not a } P_{\kappa+}\text{-point of } X\}$ (i.e., $P(p, X)$ is the smallest size of a family of neighbourhoods of p in X whose intersection is not a neighbourhood of p). Then in a monotonically normal compact space X , the set of all points p such that $\chi(p, X) = P(p, X)$ is dense in X .

Since a compact P-space is finite, $P(p, X)$ is countable for all points of a compact homogeneous monotonically normal space, and so the space is first countable.

For the hereditary paracompactness, just use the Balogh-Rudin theorem that a monotonically normal space is hereditarily paracompact iff it contains no homeomorphic copy of a stationary subset of ω_1 [BR], and the elementary fact that no first countable compact space can contain such a copy. \square

If we assume $\text{MA}(\omega_1) + \text{Axiom R}$, we can relax “monotonically normal” in Theorem 3.6 to “hereditarily strongly ω_1 -cwh.” [Recall that every monotonically normal space is hereditarily collectionwise normal.] Theorem 3.2 gives us first countability since $\text{MA}(\omega_1)$ implies Axiom I, and hereditary paracompactness follows from Balogh’s theorem [B] that $\text{MA}(\omega_1) + \text{Axiom R}$ implies every hereditarily strongly ω_1 -cwh space is either hereditarily paracompact or contains a perfect preimage of ω_1 .

The following question lies at the opposite extreme of generality. It is completely open—we have no consistency results in either direction.

3.7. Problem. *Is every homogeneous compactum either first countable or continuously mappable onto $[0, 1]^{\aleph_1}$?*

For homogeneous ccc (that is, countable cellularity) compacta the answer is Yes if one assumes $2^{\aleph_0} < 2^{\aleph_1}$. This is because $|X| \leq 2^{c(X)\pi\chi(X)}$ for homogeneous spaces [A], and so any homogeneous ccc compactum of cardinality $> \mathfrak{c}$ is also of uncountable π -character and hence continuously mappable onto $[0, 1]^{\aleph_1}$ by Shapirovskii’s theorem. On the other hand, since $|X| = 2^{\chi(X)}$ for every homogeneous compactum, $2^{\aleph_0} < 2^{\aleph_1}$ implies that every homogeneous compactum of cardinality \mathfrak{c} is first countable. This reasoning extends to higher cardinals, and results in such considerations as the following: if, as some suspect, every homogeneous compactum is of cellularity $\leq \mathfrak{c}$, then $2^{\mathfrak{c}} < 2^{\mathfrak{c}^+}$ implies that every homogeneous compactum is either of character $\leq \mathfrak{c}$ or admits a continuous map onto $[0, 1]^{\mathfrak{c}^+}$.

Problem 3.7 is closely related to the following general problem about compacta:

3.7’ Problem. *Is it consistent that every compactum either has a point of first countability or admits a continuous map onto $[0, 1]^{\aleph_1}$?*

There are compacta satisfying neither condition in various models of set theory, including those satisfying CH [F] and those satisfying \clubsuit or its weakening (t) , which holds whenever a single Cohen real is added [J1].

Our next theorem features a use of the property that every open Lindelöf subspace has hereditarily Lindelöf boundary. This property is satisfied by every locally compact space satisfying $wD(\aleph_1)$ in any model of ZFC where Axiom L holds, by Lemma 3.1. It is already strong enough to imply first countability in any homogeneous compactum [Theorem 3.11]. We will make use of two lemmas, the first of which is very easy, cf. [Ny] or [B].

3.8. Lemma. *In a locally compact space, every point has an open Lindelöf (equivalently: σ -compact) neighborhood. \square*

3.9. Lemma. *Let X be a locally compact space such that every Lindelöf subset has Lindelöf closure. Then any two disjoint closed subsets of X , one of which is Lindelöf, can be put into disjoint open sets. Hence X has Property $wD(\aleph_0)$.*

Proof. Let A and B be disjoint closed subsets of X . If A is Lindelöf, then Lemma 3.8 gives a countable cover \mathcal{U} of A by open Lindelöf sets, none of which meets B . Then $U = \bigcup \mathcal{U}$ is Lindelöf, and so A and $B \cap \overline{U}$ are disjoint closed Lindelöf subsets of X and hence can be put into disjoint open subsets V and W of X . Then V and $W \cup (X \setminus \overline{U})$ are disjoint open subsets of X containing A and B , respectively.

For the final conclusion, note that X is pseudonormal (that is, every pair of disjoint closed subsets, one of which is countable, can be put into disjoint open sets) and use the easy fact [vD, 12.1] that every pseudonormal space satisfies $wD(\aleph_0)$. \square

Before going on to Theorem 3.11, we note an interesting “stepping-down” consequence of Lemmas 3.1 and 3.9 in the presence of Axiom L.

3.10. Corollary. *[Axiom L] Let X be a locally compact space. If X is hereditarily $wD(\aleph_1)$, then X is hereditarily $wD(\aleph_0)$.*

Proof. First, note that the property of being $wD(\kappa)$ is inherited by all subspaces if it is inherited by all open subspaces. This is because, if Y is a subspace of X and D is a closed discrete subspace of Y , then D is also closed discrete in the (open, in X) subspace $X \setminus D'$, where D' again stands for the derived set of D in X . So if we define D_0 and the sets U_d as in Definition 1, with $X \setminus D'$ playing the role of X , then the traces $U_d \cap Y$ will be a discrete collection of open subsets of Y expanding D_0 in Y , as desired.

Next, let Y be an open subspace of X . Since Y is locally compact, it follows from Lemma 3.1 and Axiom L that every open subspace of Y has hereditarily Lindelöf boundary, and hence that every Lindelöf subspace of Y has Lindelöf closure. Now use Lemma 3.9 to conclude that Y has Property $wD(\aleph_0)$. \square

Corollary 3.10 requires more than just ZFC: it is easy to modify Ostaszewski’s space using \diamond to get a hereditarily separable locally compact locally countable

space which is not $\text{wD}(\aleph_0)$; yet it is vacuously (hereditarily) $\text{wD}(\aleph_1)$. It is also not possible to drop local compactness from Corollary 3.10. Let X be the space with underlying set $\omega_1 + 1$ and in which all points except ω_1 are isolated, while a set containing ω_1 is open iff its complement is nonstationary. Let Y be the subspace of $X \times \omega + 1$ obtained by removing the corner point $\langle \omega_1, \omega \rangle$. In Y , no infinite subset of the countable closed discrete subspace $E = \{\omega_1\} \times \omega$ can be expanded to a discrete collection of open sets. Hence Y is not $\text{wD}(\aleph_0)$. On the other hand, if D is a closed discrete subset of Y of cardinality \aleph_1 , the non-isolated points of $D \setminus E$ are contained in $\omega_1 \times \{\omega\}$, and if there are uncountably many of them, there is a nonstationary subset N of ω_1 such that $(N \times \{\omega\}) \cap D$ is uncountable. Then $(N \times \{\omega\}) \cap D$ can easily be expanded to a discrete collection of open sets. Since the non-isolated points of Y form a closed discrete subspace, the $\text{wD}(\aleph_1)$ property is clearly inherited by all subspaces of Y .

3.11. Theorem. *Let K be a homogeneous compactum in which every open Lindelöf subspace has hereditarily Lindelöf boundary. Then K is first countable.*

Proof. Let $p \in K$ and let $X = K \setminus \{p\}$. If X is Lindelöf then p is a G_δ -point in K , hence a first countability point, and we are done. So we may assume that X is not Lindelöf.

Next we show K satisfies $\text{wD}(\aleph_0)$ hereditarily. Indeed, the property that every open Lindelöf subspace of K has hereditarily Lindelöf boundary is inherited by open subspaces of K . It follows that if Y is an open subspace of K , then every Lindelöf subspace of Y has Lindelöf closure; hence Y satisfies $\text{wD}(\aleph_0)$, and so every subspace of K satisfies it (see the first paragraph in the proof of Corollary 3.10).

From Corollary 2.5 we get that K has a dense set of points of countable π -character. Since p is non-isolated, X is noncompact and so contains a noncompact, Lindelöf open subset X_0 , as can be easily shown by repeated applications of Lemma 3.8. Continue inductively through the countable ordinals, defining a strictly increasing chain of Lindelöf open subsets of X as follows. We get $X_{\xi+1}$ once X_ξ is defined, by covering the boundary of X_ξ with countably many open Lindelöf subspaces of X . Note that $X_{\xi+1}$ can be chosen to be strictly larger than $\text{cl}_X(X_\xi)$; this is where we use the assumption that X is not Lindelöf. At limit ordinals α let $X_\alpha = \bigcup\{X_\xi : \xi < \alpha\}$. By induction, X_ξ is Lindelöf and has hereditarily Lindelöf boundary for all countable ξ .

Having finished the induction, $X_{\omega_1} = \bigcup\{X_\xi : \xi < \omega_1\}$ is a non-Lindelöf open subset of X . If X_{ω_1} is clopen in X , then for every open neighbourhood U of p in K the compact set $X_{\omega_1} \setminus U$ is contained in X_α for some $\alpha < \omega_1$. Consequently, every G_δ -set containing p contains $X_{\omega_1} \setminus X_\alpha$ for some $\alpha < \omega_1$ and so has nonempty interior. This means that p can play the role of y in Lemma 2.1 (b). Otherwise, since X satisfies $\text{wD}(\aleph_0)$ hereditarily, any point on the boundary of X_{ω_1} in X is isolated in $Bd_X(X_{\omega_1})$ [Ny2, Lemma 1.6], and so can play the role of y in (b) similarly

to p in the case where X_{ω_1} is clopen in X . This, by Lemma 2.1, contradicts the homogeneity of K and shows that X is indeed Lindelöf. \square

Our next problem involves condition (b) in Lemma 2.1, which in a homogeneous space is equivalent to every nonempty G_δ having nonempty interior. Spaces with this latter property are often called *pseudo-P spaces*.

3.12. Problem. *Is there a homogeneous pseudo-P compactum?*

The Stone-Čech remainder of ω is a pseudo-P compactum, but is well known not to be homogeneous. Jan van Mill has observed that any pseudo-P compactum of weight $\leq \omega_1$ has a dense set of P-points (just use W. Rudin's argument that CH implies $\beta\omega - \omega$ has P-points) and so an example for Problem 3.12 would have to have weight $> \omega_1$.

Concluding remarks

Finally, here is some information about the hypothesis used in Lemma 2.6. It shows that, at least consistently, the assumption that all discrete subspaces of size \aleph_1 have condensation points is strictly weaker than the same for all subspaces of size \aleph_1 .

4.1. Theorem. *The following are equivalent.*

- (1) *There is an S-space.*
- (2) *There is a regular locally countable uncountable space of countable spread.*
- (3) *There is a regular space X in which every discrete subspace of cardinality \aleph_1 has a complete accumulation point, yet there is a subspace of cardinality \aleph_1 without a complete accumulation point.*

Proof. (1) \implies (2) is well-known [cf. the proof of Theorem 3.1 in [R]], and (2) \implies (3) is obvious. To see (3) \implies (1), take $Y \subset X$ of size \aleph_1 with no complete accumulation point. Then Y must have countable spread and is not Lindelöf, hence it contains an uncountable right separated subspace Z . But then Z is an S-space [R, proof of 3.3]. \square

The condition that every subset of cardinality \aleph_1 has a complete accumulation point is known as $C[\aleph_1, \aleph_1]$ and is equivalent to every open cover of cardinality \aleph_1 having a countable subcover. More generally, Saks [S1] defined a space to be $C[\mathfrak{n}, \mathfrak{m}]$ if every subset A satisfying $\mathfrak{n} \leq |A| \leq \mathfrak{m}$ has a complete accumulation point. Saks noted that the outline of [K, Exercise 5I] can easily be modified to show that $C[\mathfrak{n}, \mathfrak{m}]$ implies every open cover of cardinality $\leq \mathfrak{m}$ has a subcover of cardinality $< \mathfrak{n}$. The converse is also true if $\mathfrak{m} < \aleph_\omega$ as can be shown by a slight modification of the proof that a space is initially \mathfrak{m} -compact iff every infinite subset of cardinality $\leq \mathfrak{m}$ has a complete accumulation point [S2, Theorem 2.2].

We close by recalling a quirk of terminology. The expression, “finally compact in the sense of complete accumulation points,” is usually taken to mean that every infinite subset of *regular cardinality* has a complete accumulation point. This is equivalent to the space being linearly Lindelöf [H].

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