## Explicit Construction of Small Folkman Graphs

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The 22nd Clemson Mini-Conference on Discrete Mathematics and Algorithms

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- If edges of $K_{6}$ are 2-colored then there exists a monochromatic triangle.



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- There exists a 2-coloring of edges of $K_{5}$ with no monochromatic triangle.



## Rado's arrow notation

$G \rightarrow(H)$ : if the edges of $G$ are 2 -colored then there exists a monochromatic subgraph of $G$ isomorphic to $H$.


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K_{6} \rightarrow\left(K_{3}\right)
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$K_{5} \nrightarrow\left(K_{3}\right)$

Fact: If $K_{6} \subset G$, then $G \rightarrow\left(K_{3}\right)$.

## A question of Erdős and Hajnal

Is there a $K_{6}$-free graph $G$ with $G \rightarrow\left(K_{3}\right)$ ?

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Is there a $K_{6}$-free graph $G$ with $G \rightarrow\left(K_{3}\right)$ ?
Graham (1968): Yes!


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K_{8} \backslash C_{5}
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## Graham's graph $K_{8} \backslash C_{5}=K_{3} * C_{5}$

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Suppose $G$ has no monochromatic triangle.


Label the vertices of $C_{5}$ by either $(r, b)$ or $(b, r)$.
A red triangle is unavoidable since $\chi\left(C_{5}\right)=3$.

## $K_{5}$-free graphs $G$ with $G \rightarrow\left(K_{3}\right)$

| Year | Authors | $\|G\|$ |
| :--- | :--- | :--- |
| 1969 | Scha̋uble | 42 |
| 1971 | Graham, Spencer | 23 |
| 1973 | Irving | 18 |
| 1979 | Hadziivanov, Nenov | 16 |
| 1981 | Nenov | 15 |

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In 1998, Piwakowski, Radziszowski and Urbański used a computer-aided exhaustive search to rule out all possible graphs on less than 15 vertices.

## General results

Folkman's theorem (1970): For any $k_{2}>k_{1} \geq 3$, there exists $a$ $K_{k_{2}}$-free graph $G$ with $G \rightarrow\left(K_{k_{1}}\right)$.
These graphs are called Folkman Graphs.

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Folkman's theorem (1970): For any $k_{2}>k_{1} \geq 3$, there exists $a$ $K_{k_{2}}$-free graph $G$ with $G \rightarrow\left(K_{k_{1}}\right)$.
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Nešetřil-Rödl's theorem (1976): For $p \geq 2$ and any
$k_{2}>k_{1} \geq 3$, there exists a $K_{k_{2}}$-free graph $G$ with $G \rightarrow\left(K_{k_{1}}\right)_{p}$.
Here $G \rightarrow(H)_{p}$ : if the edges of $G$ are $p$-colored then there exists a monochromatic subgraph of $G$ isomorphic to $H$.
$f\left(p, k_{1}, k_{2}\right)$
Let $f\left(p, k_{1}, k_{2}\right)$ denote the smallest integer $n$ such that there exists a $K_{k_{2}}$-free graph $G$ on $n$ vertices with $G \rightarrow\left(K_{k_{1}}\right)_{p}$.

- Graham

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f(2,3,6)=8 .
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- What about $f(2,3,4)$ ?


## Upper bound of $f(2,3,4)$

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- Erdốs re-set a prize of $\$ 100$ for the new challenge

$$
f(2,3,4) \leq 10^{6} .
$$

## The most wanted Folkman Graph



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Problem on triangle-free subgraphs in graphs containing no $K_{4} \quad \$ 100$ (proposed by Erdös) ${ }^{48}$
Let $f\left(p, k_{1}, k_{2}\right)$ denote the smallest integer $n$ such that there is a graph $G$ with $n$ vertices satisfying the properties:
(1) any edge coloring in $p$ colors contains a monochromatic $K_{k_{1}}$;
(2) $G$ contains no $K_{k_{2}}$.

Prove or disprove:

$$
f(2,3,4)<10^{6} .
$$

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- For moderate $n$, Folkman graphs are very rare among all $K_{4}$-free graphs on $n$ vertices.
- Probabilistic methods are generally good choices for asymptotic results. However, it is not good for moderate size $n$.


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- Localization and $\delta$-fairness.


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- Find a simple and sufficient condition for $G \rightarrow\left(K_{3}\right)$, and an efficient algorithm to verify this condition.
- Search a special class of graphs so that we have a better chance of finding a Folkman graph.
- Use spectral analysis instead of probabilistic methods.
- Localization and $\delta$-fairness.
- Circulant graphs and $L(m, s)$.


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We claim the reward by proving
Theorem 1 (Lu, 2007) $f(2,3,4) \leq 9697$.

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We explicitly constructed 4 Folkman graphs with orders

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9697, \quad 30193, \quad 33121, \quad 57401 .
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Recent update: Dudek and Rödl (2008) proved
$f(2,3,4) \leq 941$.

## Spencer's Lemma

## Notations:

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For vertex transitive graph $G$, all $G_{v}$ 's are isomorphic.

## Spectral lemma

- $H$ : a graph on $n$ vertices
- $A$ : the adjacency matrix of $H$
- $\mathbf{d}=\left(d_{1}, d_{2}, \ldots, d_{n}\right)$ : degrees of $H$
- $\operatorname{Vol}(S)=\sum_{v \in S} d_{v}$ : the volume of $S$
- $\bar{d}=\frac{\operatorname{Vol}(H)}{n}$ : the average degree


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Lemma (Lu) If the smallest eigenvalue of $M=A-\frac{1}{\operatorname{Vol}(H)} \mathbf{d} \cdot \mathbf{d}^{\prime}$ is greater than $-2 \delta \bar{d}$, then $H$ is $\delta$-fair.

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Similar results hold for $A$ and $L$. However, they are weaker than using $M$ in experiments.

## Corollary

Corollary Suppose $H$ is a d-regular graph and the smallest eigenvalue of its adjacency matrix $A$ is greater than $-2 \delta d$. Then $H$ is $\delta$-fair.

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Proof: We can replace $M$ by $A$ in the previous lemma.

- 1 is an eigenvector of $A$ with respect to $d$.
- $M$ is the projection of $A$ to the hyperspace $1^{\perp}$.
- $M$ and $A$ have the same smallest eigenvalues.


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- We observe $M 1=0$.
- For each $t \in(0,1)$, let $\alpha(t)=(1-t) \mathbf{1}_{X}-t \mathbf{1}_{Y}$. We have

$$
\alpha(t)^{\prime} \cdot M \cdot \alpha(t)=-e(X, Y)+\frac{1}{\operatorname{Vol}(H)} \operatorname{Vol}(X) \operatorname{Vol}(Y) .
$$

## The proof of the Lemma

Let $\rho$ be the smallest eigenvalue of $M$. We have

$$
e(X, Y)-\frac{\operatorname{Vol}(X) \operatorname{Vol}(Y)}{\operatorname{Vol}(H)} \leq-\alpha(t)^{\prime} \cdot M \cdot \alpha(t) \leq-\rho\left\|\alpha_{t}\right\|^{2} .
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Choose $t=\frac{|X|}{n}$ so that $\|\alpha(t)\|^{2}$ reaches its minimum $\frac{|X||Y|}{n}$.

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Choose $t=\frac{|X|}{n}$ so that $\|\alpha(t)\|^{2}$ reaches its minimum $\frac{|X||Y|}{n}$. We have

$$
\begin{aligned}
e(X, Y) & \leq \frac{\operatorname{Vol}(X) \operatorname{Vol}(Y)}{\operatorname{Vol}(H)}+\rho \frac{|X||Y|}{n} . \\
& \leq \frac{\operatorname{Vol}(H)}{4}-\rho \frac{n}{4} \\
& <\left(\frac{1}{2}+\delta\right)|E(H)|, \text { since } \rho>-2 \delta \bar{d} .
\end{aligned}
$$

## Circulant graphs

- $\mathbb{Z}_{n}=\mathbb{Z} / n \mathbb{Z}$
- $S$ : a subset of $\mathbb{Z}_{n}$ satisfying $-S=S$ and $0 \notin S$.

We define a circulant graph $H$ by

- $V(H)=\mathbb{Z}_{n}$
- $E(H)=\{x y \mid x-y \in S\}$.

Example: A circulant graph with $n=8$ and $S=\{ \pm 1, \pm 3\}$.


## Spectrum of circulant graphs

Lemma: The eigenvalues of the adjacency matrix for the circulant graph generated by $S \subset \mathbb{Z}_{n}$ are

$$
\sum_{s \in S} \cos \frac{2 \pi i s}{n}
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for $i=0, \ldots, n-1$.

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Proof: Note $A=g(J)$, where

$$
g(x)=\sum_{s \in S} x^{s} .
$$

$$
J=\left(\begin{array}{cccccc}
0 & 1 & 0 & \cdots & 0 & 0 \\
0 & 0 & 1 & \cdots & 0 & 0 \\
0 & 0 & 0 & \cdots & 0 & 0 \\
\vdots & \vdots & \vdots & \ddots & \ddots & \vdots \\
0 & 0 & 0 & \cdots & 0 & 1 \\
1 & 0 & 0 & \cdots & 0 & 0
\end{array}\right)
$$

## Proof continues...

Let $\phi=e^{\frac{2 \pi \sqrt{-1}}{n}}$ denote the primitive $n$-th unit root. $J$ has eigenvalues

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1, \phi, \phi^{2}, \ldots, \phi^{n-1}
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Thus, the eigenvalues of $A=g(J)$ are

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$$

For $i=0,1,2, \ldots, n-1$, we have

$$
g\left(\phi^{i}\right)=\Re\left(g\left(\phi^{i}\right)\right)=\sum_{s \in S} \cos \frac{2 \pi i s}{n} .
$$

## Graph $L(m, s)$

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Proposition: The local graph $G_{v}$ of $L(m, s)$ is also a circulant graph.

## Algorithm

- For each $L(m, s)$, compute the local graph $G_{v}$.
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- If $G_{v}$ is not triangle-free, reject it and try a new graph $L(m, s)$.
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- If $G_{v}$ is not triangle-free, reject it and try a new graph $L(m, s)$.
- If the ratio the smallest eigenvalue verse the largest eigenvalue of $G_{v}$ is less than $-\frac{1}{3}$, reject it and try a new graph $L(m, s)$.
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- If the ratio the smallest eigenvalue verse the largest eigenvalue of $G_{v}$ is less than $-\frac{1}{3}$, reject it and try a new graph $L(m, s)$.
- Output a Folkman graph $L(m, s)$.


## Computational results

| $L(m, s)$ | $\sigma$ |
| :---: | :---: |
| $L(127,5)$ | $-0.6363 \cdots$ |
| $L(761,3)$ | $-0.5613 \cdots$ |
| $L(785,53)$ | $-0.5404 \cdots$ |
| $L(941,12)$ | $-0.5376 \cdots$ |
| $L(1777,53)$ | $-0.5216 \cdots$ |
| $L(1801,125)$ | $-0.4912 \cdots$ |
| $L(2641,2)$ | $-0.4275 \cdots$ |
| $L(9697,4)$ | $-0.3307 \cdots$ |
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- Graphs in red are Folkman graphs.
- Graphs in black are good candidates.


## Open questions

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- Is $L(2641,2)$ a Folkman graph?
- Our method works for graphs other than $L(m, s)$. Is there any other construction for smaller Folkman graphs?


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- Exoo conjectured $L(127,5)$ is a Folkman graph.
- Is $L(2641,2)$ a Folkman graph?
- Our method works for graphs other than $L(m, s)$. Is there any other construction for smaller Folkman graphs?
- A new challenge: prove or disprove

$$
f(2,3,4) \leq 100 .
$$

