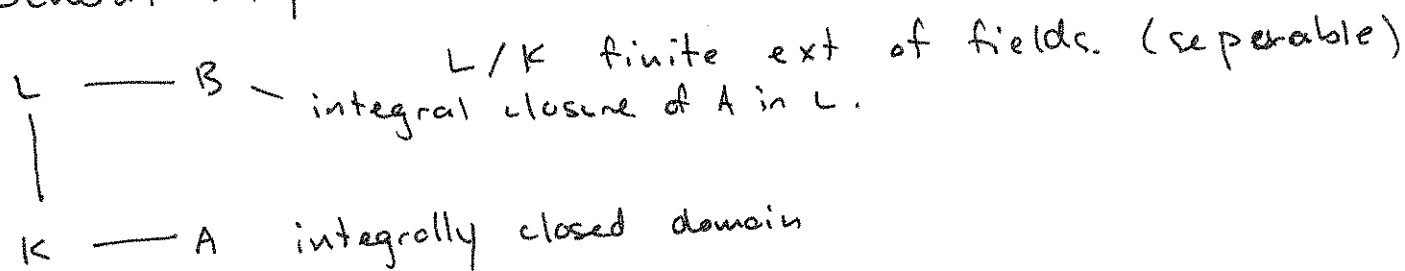


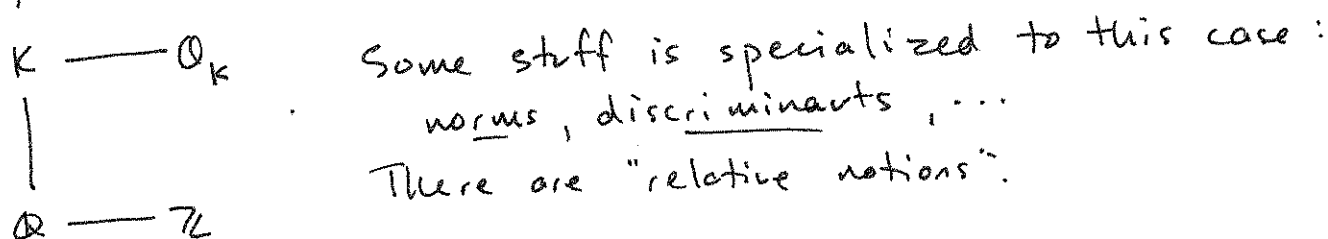
12.1.

Back to number fields. (recap)

General setup



Special case



Recap. In above,  $\mathcal{O}_K$  is a Dedekind domain.

(1) noetherian

(2) integrally closed in its field of fractions

(3) every prime ideal  $\neq (0)$  is maximal.

Thm. In above,  $A$  Dedekind  $\implies B$  is.

$\mathbb{Z}$  is, so  $\mathcal{O}_K$  is also. Also finite ext's of  $\mathbb{F}_q[t]$ .

Thm. In a Dedekind domain, any ideal of  $B$  can be written uniquely as a product of number fields.

5.4. <sup>=12.2.</sup> Theorem. (Chinese Remainder)

Given a ring  $R$ , and ideals  $\underline{a}_1, \dots, \underline{a}_n$  with  $\underline{a}_i + \underline{a}_j = R$  if  $i \neq j$ .

Then,

$$R / \bigcap \underline{a}_i \cong \bigoplus R / \underline{a}_i.$$

Proof. Consider the homomorphism

$$\begin{aligned} R &\longrightarrow \bigoplus R / \underline{a}_i \\ r &\longrightarrow (r + \underline{a}_1, \dots, r + \underline{a}_n). \end{aligned}$$

Visibly, the kernel is  $\bigcap \underline{a}_i$ . So prove surjective.

Surjectivity for  $n=2$ .

We can write  $1 = a_1 + a_2$  where  $a_1 \in \underline{a}_1$ ,  $a_2 \in \underline{a}_2$ ,

and so  $a_1 \equiv 1 \pmod{\underline{a}_2}$ ,  $\equiv 0 \pmod{\underline{a}_1}$  and vice versa

$$\begin{aligned} xa_1 + ya_2 &\longrightarrow (ya_2, xa_1) \\ &= (y, x) \text{ in } R/\underline{a}_1 \oplus R/\underline{a}_2 \end{aligned}$$

choose  $x, y$  anything you want.

$n > 2$ . Similar story.

~~Find~~ Find  $b_{1,2} \equiv 1 \pmod{\underline{a}_1}$  and  $\equiv 0 \pmod{\underline{a}_2}$

$b_{1,3} \equiv 1 \pmod{\underline{a}_1}$  and  $\equiv 0 \pmod{\underline{a}_3}$

$\vdots$

$b_{1,n} \equiv 1 \pmod{\underline{a}_1}$  and  $\equiv 0 \pmod{\underline{a}_n}$

$$b_1 = b_{1,2} \cdot b_{1,3} \cdot \dots \cdot b_{1,n} \equiv 1 \pmod{\underline{a}_1}$$

$$\equiv 0 \pmod{\underline{a}_i} \text{ for } i \neq 1.$$

Then  $b_1 \longrightarrow (1, 0, 0, \dots, 0)$ .

Similarly can find elts mapping to  $(0, 1, 0, 0, \dots, 0)$  etc.

and these generate  $\bigoplus R/\underline{a}_i$ .  $\square$

5.5. = 12.3.

Prop. In a Dedekind domain, if  $\mathfrak{a}_1 + \mathfrak{a}_2 = R$  then  $\mathfrak{a}_1$  and  $\mathfrak{a}_2$  are coprime.

This is easy. If  $\mathfrak{a}_1 = \mathfrak{p} \mathfrak{b}_1$  for some  $\mathfrak{p}, \mathfrak{b}_1$ ,  
 $\mathfrak{a}_2 = \mathfrak{p} \mathfrak{b}_2$   
then  $\mathfrak{a}_1 + \mathfrak{a}_2 = \mathfrak{p} \mathfrak{b}_1 + \mathfrak{p} \mathfrak{b}_2 \subseteq \mathfrak{p}$ .

It goes the other way too.

If  $\mathfrak{a}_1 + \mathfrak{a}_2 = \mathfrak{a} < R$ ,  
then  $\mathfrak{a}_1 \subseteq \mathfrak{a}, \mathfrak{a}_2 \subseteq \mathfrak{a}$  and so  $\mathfrak{a}_1 = \mathfrak{a} \cdot \mathfrak{b}_1$  for some  $\mathfrak{b}_1, \mathfrak{b}_2$   
 $\mathfrak{a}_2 = \mathfrak{a} \cdot \mathfrak{b}_2$

(MF, Prop. 69. containment  $\leftrightarrow$  divisibility.)

Prop. If  $\mathfrak{a}_1, \dots, \mathfrak{a}_n$  are pairwise coprime ideals, then

$$\mathfrak{a}_1 \cdot \mathfrak{a}_2 \cdots \mathfrak{a}_n = \mathfrak{a}_1 \cap \dots \cap \mathfrak{a}_n.$$

$\subseteq$  is obvious.

$\supseteq$ : Do a simple induction, or:

if  $\mathfrak{a} \subseteq \mathfrak{a}_1 \cap \dots \cap \mathfrak{a}_n$ , then for each  $i, \mathfrak{a}_i \mid \mathfrak{a}$ .

Since the  $i$ 's are coprime,  $\mathfrak{a}_1 \cdots \mathfrak{a}_n \mid \mathfrak{a}$ .

i.e.,  $\mathfrak{a} \subseteq \mathfrak{a}_1 \cdots \mathfrak{a}_n$ .

So: CRT restated.

In a Dedekind domain, if  $\mathfrak{a} = \prod \mathfrak{a}_i$  with the  $\mathfrak{a}_i$  coprime,

$$R/\mathfrak{a} \cong \bigoplus_i R/\mathfrak{a}_i. \quad (\text{usual CRT!})$$

12.4.

Norms.

from  $L$  to  $K$ ,  $N_{L/K}(\alpha)$

Def. Let  $\alpha \in L$ . Then the norm of  $\alpha$  is the determinant of the endomorphism (as vector spaces over  $K$ )

$$\begin{array}{ccc} L & \longrightarrow & L \\ x & \longrightarrow & \alpha x. \end{array}$$

We have  $N_{L/K}(\alpha) = \prod_{\sigma} \sigma(\alpha)$ .  $\sigma$ : embeddings  $L \hookrightarrow \bar{K}$ .

Also, if  $\alpha$  generates  $L/K$  with min poly

$$X^n + a_{n-1}X^{n-1} + \dots + a_0 = 0, \text{ then } a_0 = (-1)^n N_{L/K}(\alpha)$$

(write this as  $\prod (x - \alpha_i) = 0$ .)

The proof is as for the trace. (see 3.3-3.4 of lecture notes & 1.2 of Neu. etc.)

(if  $K = \mathbb{Q}$  just talk about the norm  $N(\alpha)$ .)

Def. Suppose that  $K$  is a number field and  $\mathfrak{a}$  is an ideal.

Then its (absolute) norm is

$$N(\mathfrak{a}) = [\mathcal{O}_K : \mathfrak{a}].$$

There is a relative norm from  $L$  to  $K$  also.

You get an ideal of  $\mathcal{O}_K$ .

Proposition. Let  $\alpha \in \mathcal{O}_K$ . Then

$$N(\alpha) = N((\alpha)).$$

Proof. Linear algebra. LHS is the determinant of the endomorphism  $x \mapsto \alpha x$ . Here we have  $\alpha \mathcal{O}_K \subseteq \mathcal{O}_K$ ,

so (det of this matrix) = [original lattice : image under this endomorphism]

i.e. exactly what we have above.

12.5.

Proposition. Norms are multiplicative, i.e.

$$N(ab) = N(a)N(b).$$

Proof. (see also MF, Thm. 82)

If  $a, b$  are coprime then

$$\mathcal{O}_K / \underline{ab} = \mathcal{O}_K / \underline{a} \oplus \mathcal{O}_K / \underline{b} \text{ so obvious.}$$

In general, want to show

$$N(\mathfrak{p}_1^{e_1} \mathfrak{p}_2^{e_2} \dots \mathfrak{p}_r^{e_r}) = N(\mathfrak{p}_1)^{e_1} \dots N(\mathfrak{p}_r)^{e_r},$$

by CRT enough to show for prime powers.

We have  $\mathcal{O}_K \supseteq \mathfrak{p} \supseteq \mathfrak{p}^2 \supseteq \dots \supseteq \mathfrak{p}^e$ .

All containments proper, because of unique factorization.

Claim. For each  $i$ ,  $\mathfrak{p}^i / \mathfrak{p}^{i+1}$  is an  $\mathcal{O}_K / \mathfrak{p}$ -v.s. of dim 1.

~~Proof. Let  $b \in \mathfrak{p}^i \setminus \mathfrak{p}^{i+1}$ . Suppose  $b \in \mathfrak{p}^{i+1}$ .~~

~~write  $b = b' + \mathfrak{p}^{i+1}$ .~~

~~It is guaranteed that  $b \notin \mathfrak{p}^{i+1}$  then  $b \in \mathfrak{p}^i$ .~~

Observe. (1)  $\mathfrak{p}^i / \mathfrak{p}^{i+1}$  is indeed an  $\mathcal{O}_K / \mathfrak{p}$ -v.s. (not 0).

Now, choose  $a \in \mathfrak{p}^i \setminus \mathfrak{p}^{i+1}$

Consider  $\mathcal{O}_K \longrightarrow \mathfrak{p}^i / \mathfrak{p}^{i+1}$

$$x \longmapsto a \cdot x + \mathfrak{p}^{i+1}$$

The kernel is  $\mathfrak{p}$ , evidently.

It is surjective, because there are no ideals between  $\mathfrak{p}^i$  and  $\mathfrak{p}^{i+1}$ .

(If we had such an ideal  $\mathfrak{b}$ , would have  $\mathcal{O}_K \supseteq \mathfrak{b} \mathfrak{p}^{-i} \supseteq \mathfrak{p}$  but  $\mathfrak{p}$  is maximal.)

And so done.

13.1. Ideals in extensions.



Question. What does  $\mathfrak{p} \mathcal{O}_L$  look like?

It has unique factorization, so write  
 $\mathfrak{p} \mathcal{O}_L = \underline{P}_1^{e_1} \cdots \underline{P}_g^{e_g}$ . (\*)

We say the  $\underline{P}_i$  lie over (or divide  $\mathfrak{p}$ .)

Lemma.  $\underline{P} \in B$  lies over  $\mathfrak{p} \in A$  iff  $\underline{P} \cap A = \mathfrak{p}$ .

Proof.  $\rightarrow$ : By (\*),  $\mathfrak{p} \subseteq \underline{P}$ , so  $\mathfrak{p} \subseteq \underline{P} \cap A$ .

Conversely,  $\underline{P} \cap A$  is an ideal of  $A$  containing  $\mathfrak{p}$  and not 1, so by maximality  $\mathfrak{p} = \underline{P} \cap A$ .

$\leftarrow$ :  $\mathfrak{p} B \subseteq \underline{P}$ , i.e.,  $\underline{P}$  is a prime factor of  $\mathfrak{p} B$ .

Definition. If  $\mathfrak{p} \mathcal{O}_L = \underline{P}_1^{e_1} \cdots \underline{P}_g^{e_g}$ :

If any  $e_i \geq 1$ , we say  $\mathfrak{p}$  ramifies in  $B$ .

$e_i$  is the ramification index of  $\underline{P}_i$  over  $\mathfrak{p}$ .

Write  $e(\underline{P}_i | \mathfrak{p}) = e_i$ .

Now, Given  $\underline{P} | \mathfrak{p}$ ,  $B/\underline{P}$  and  $A/\mathfrak{p}$  are both fields.

Moreover, we have an injective map

$$\begin{array}{ccc} A/\mathfrak{p} & \hookrightarrow & B/\underline{P} \\ a + \mathfrak{p} & \longmapsto & a + \underline{P} \end{array} \quad \text{injective because} \\ \text{kernel is } \mathfrak{p} + \underline{P} = \underline{P}.$$

$B$  is a finitely generated  $A$ -module, so

$B/\underline{P}$  is a f.g.  $A/\mathfrak{p}$ -module.

i.e. if  $B = Ab_1 \oplus Ab_2 \oplus \cdots \oplus Ab_n$ ,

then  $B/\underline{P} = (A/\mathfrak{p})b_1 \oplus (\text{mod } \underline{P}) \oplus \cdots \oplus (A/\mathfrak{p})b_n$   
(mod  $\underline{P}$ )

but no longer necessarily direct.

13.2.

Put another way,

$$B/\mathfrak{p}B = (A/\mathfrak{p})b_1 \oplus \dots \oplus (A/\mathfrak{p})b_n, \quad \left( \begin{array}{l} \text{spanning is clear.} \\ \text{independence to} \\ \text{be shown.} \end{array} \right)$$

and we have a natural injection  $B/\underline{P} \hookrightarrow B/\mathfrak{p}B$ ,  
because  $\mathfrak{p}B \subseteq \underline{P}$ .

Thus  $B/\underline{P}$  is a finite field extension of  $A/\mathfrak{p}$ .

$$\text{and } [B/\underline{P} : A/\mathfrak{p}] \leq [L : K].$$

Def.  $[B/\underline{P} : A/\mathfrak{p}]$  is the residue class degree of  $\underline{P}$  over  $\mathfrak{p}$ . Write it  $f(\underline{P}|\mathfrak{p})$ .

Theorem. (e-f-g)  $A$ : Ded. domain with f.f.  $K$ .  
 $L/K$  finite separable,  $B = \text{int. closure of } A \text{ in } L$ .

Let  $\mathfrak{p} \subseteq A$  and  $\mathfrak{p}B = \underline{P}_1^{e_1} \dots \underline{P}_g^{e_g}$ ,  
where each  $\underline{P}_i$  has ramification index  $e_i$   
and residue class degree  $f_i$ .

$$\text{Then, } [L : K] = \sum_{i=1}^g e_i f_i.$$

Note. Will show, if  $L/K$  is Galois, that all the  $e_i$  are equal, and all the  $f_i$  are equal, so  
 $[L : K] = e f g$ .

Example.  $K = \mathbb{Q}$ ,  $L = \mathbb{Q}(i)$ ,  $B = \mathbb{Z}[i]$ .

Then  $(2) = (1+i)^2$  so  $e((1+i)|(2)) = 2$ .

$(3) = \text{still prime}$ , so  $e((3)|(3)) = 1$   
 $f((3)|(3)) = 2$ .

Here  $\mathbb{Z}[i]/(3) \cong \mathbb{F}_9$ .

$(5) = (2+i)(2-i)$ , and  $e = f = 1$ ,

$$\mathbb{Z}[i]/(2+i) \cong \mathbb{Z}[i]/(2-i)$$

$$\cong \mathbb{Z}/(5) = \mathbb{F}_5.$$

13.3.  
Example.

Let  $L = \mathbb{Q}(\theta)$ , where  $\theta^3 - \theta - 1 = 0$ .  $\text{Disc}(L) = -23$ .  
 $3\mathcal{O}_L = (3)$  still prime so  $f(3|3) = 3$ .  
 $5\mathcal{O}_L = \mathfrak{p}_1 \cdot \mathfrak{p}_2$ , where  $f(\mathfrak{p}_1|5) = 1$   $f(\mathfrak{p}_2|5) = 2$ .  
 $59\mathcal{O}_L = \mathfrak{p}_1 \cdot \mathfrak{p}_2 \cdot \mathfrak{p}_3$  where  $f(\mathfrak{p}_i|59) = 1$ .  
 (Yes, 59 is the first one)  
 $23\mathcal{O}_L = \mathfrak{p}_1^2 \cdot \mathfrak{p}_2$ . This is the only prime that ramifies.

Cool facts.  
 (1)  $\left(\frac{-23}{p}\right) = -1 \iff p = \mathfrak{p}_1 \cdot \mathfrak{p}_2$  as above.  
 $\left(\frac{-23}{p}\right) = 1 \iff p = \mathfrak{p}_1 \cdot \mathfrak{p}_2 \cdot \mathfrak{p}_3$  or it's still prime.  
 $\left(\frac{-23}{p}\right) = 0 \iff p$  is partially ramified.

(2) You can have  $p = \mathfrak{p}^3$  but not in this field.  
 First example. Let  $L = \mathbb{Q}(\theta)$ ,  $\theta^3 - \theta^2 + \theta + 1$ .  $\text{Disc}(L) = -40$   
 Then  $(2) = \mathfrak{p}^3$ . (And  $(11) = \mathfrak{p}_1^2 \cdot \mathfrak{p}_2$ .)

(3) You can predict the densities.  
 If  $L$  is cubic and not Galois,

$p\mathcal{O}_L =$  prime w/ probability  $\frac{1}{3}$   
 $= \mathfrak{p}_1 \cdot \mathfrak{p}_2$  with  $f(\mathfrak{p}_1|p) = 1, f(\mathfrak{p}_2|p) = 2$  prob.  $\frac{1}{2}$   
 $= \mathfrak{p}_1 \cdot \mathfrak{p}_2 \cdot \mathfrak{p}_3$  with prob.  $\frac{1}{6}$

ramified if and only if  $p \mid \text{Disc}(L)$ .

Same probabilities: Let  $g$  be a random elt. of  $\text{Sym}(3)$ .

3-cycle with prob.  $\frac{1}{3}$ .

2-cycle with prob.  $\frac{1}{2}$ .

trivial with prob.  $\frac{1}{6}$ .

Connection: Chebotarev density theorem (to come)